

**PCT**WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification<sup>6</sup> :

C07H 21/00, C12Q 1/68

A1

(11) International Publication Number:

WO 95/25116

(43) International Publication Date: 21 September 1995 (21.09.95)

(21) International Application Number: PCT/US95/03143

(22) International Filing Date: 14 March 1995 (14.03.95)

(30) Priority Data:

08/213,857

16 March 1994 (16.03.94)

US

(71) Applicant: CALIFORNIA INSTITUTE OF TECHNOLOGY  
[US/US]; 1201 East California Boulevard, Pasadena, CA  
91125 (US).(72) Inventors: BALDESCHWIELER, John, D.; 571 Busch Place,  
Pasadena, CA 91105 (US). GAMBLE, Robert, C.; 3390  
Ellington Villa Drive, Altadena, CA 91001 (US). THERI-  
AULT, Thomas, P.; 203 39th Street, Manhattan Beach, CA  
90266 (US).(74) Agents: SWANSON, Barry, J. et al.; Swanson & Bratschun,  
L.L.C., 8400 East Prentice Avenue #200, Englewood, CO  
80111 (US).(81) Designated States: AM, AT, AU, BB, BG, BR, BY, CA, CH,  
CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, JP, KE, KG,  
KP, KR, KZ, LK, LR, LT, LU, LV, MD, MG, MW,  
MX, NL, NO, NZ, PL, PT, RO, RU, SD, SE, SK, TJ,  
TT, UA, UZ, VN, European patent (AT, BE, CH, DE, DK,  
ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI  
patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE,  
SN, TD, TG), ARIPO patent (KE, MW, SD, SZ, UG).

Published

With international search report.

(54) Title: METHOD AND APPARATUS FOR PERFORMING MULTIPLE SEQUENTIAL REACTIONS ON A MATRIX

(57) Abstract

A method and apparatus are provided for preparing a substrate upon which is located microdrop-sized loci at which chemical compounds are synthesized or diagnostic tests are conducted. The loci are formed by applying microdrops from a dispenser from which a microdrop is pulse fed onto the surface of the substrate.

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
AU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	IE	Ireland	NZ	New Zealand
BJ	Benin	IT	Italy	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belarus	KE	Kenya	RO	Romania
CA	Canada	KG	Kyrgyzstan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	LI	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LU	Luxembourg	TD	Chad
CS	Czechoslovakia	LV	Latvia	TG	Togo
CZ	Czech Republic	MC	Monaco	TJ	Tajikistan
DE	Germany	MD	Republic of Moldova	TT	Trinidad and Tobago
DK	Denmark	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	US	United States of America
FI	Finland	MN	Mongolia	UZ	Uzbekistan
FR	France			VN	Viet Nam
GA	Gabon				

METHOD AND APPARATUS FOR PERFORMING MULTIPLE  
SEQUENTIAL REACTIONS ON A MATRIX

FIELD OF THE INVENTION

The present invention is directed to a method and  
5 apparatus for performing sequential reactions on a  
plurality of sites on a matrix using noncontiguous  
microdrop-sized loci. The apparatus and method are  
useful for performing a test or synthesis involving  
sequential steps such as DNA sequencing, DNA  
10 diagnostics, oligonucleotide and peptide synthesis,  
screening tests for target DNA, RNA or polypeptides,  
synthesis of diverse molecules, DNA separation  
technology whereby DNA binds to target molecules,  
preparation of polysaccharides, methods for making  
15 complementary oligonucleotides, and any other test,  
sequencing or synthetic method utilizing a sequence  
of steps at a locus. An advantage or improvement can  
be obtained by providing loci so that combinations of  
different reactions may be conducted on the same  
20 matrix.

BACKGROUND OF THE INVENTION

Methods are known for performing a plurality of  
sequential tests or reactions at loci on a matrix by  
attachment of molecules to a solid phase. Typically,  
25 a solid phase is prepared having a free functional  
group such as a hydroxy group, amino group, etc. and  
linking groups are attached to the surface by way of  
covalent linkages. These linkers serve as "handles"

-2-

to which molecules may be attached for sequential synthesis of such linear molecules as polypeptides and polynucleotides. A disadvantage of such solid state synthesis is that the entire substrate or a large  
5 portion of the substrate must be exposed to a single reagent, such as the reagent which is the next molecule to be attached to the substrate, a rinsing agent or a deprotecting agent.

In some instances, locations on the substrate can be  
10 selectively treated if the reaction to be conducted is photolytic in nature, so masks may be prepared to expose selected areas to the activating radiation. However, an obvious disadvantage is that reactions must be devised which can be conducted by photolytic  
15 activation and different masks must be used to shield portions of the substrate at which the reaction is undesired.

The present invention provides a method whereby reactions may be conducted on noncontiguous  
20 microdrop-sized loci on a substrate. Since the reagents according to the present invention are in liquid form, virtually any chemical reaction which may be conducted in solution or suspension may be performed.

25 It is therefore an object of the present invention to provide a method and apparatus for performing a plurality of sequential reactions on a substrate whereby the reactions are conducted on microdrop-sized loci and, if desired, a different sequence of  
30 reactions may be conducted at each locus.

Furthermore, an object of the present invention is to provide a method and apparatus for conducting a plurality of sequential reactions on a matrix using

-3-

liquid reagents whereby the chemical reactions may be performed in solution or suspension.

These and other objects of the invention will be apparent from the following description, the appended  
5 claims and from the practice of the invention as described herein.

#### SUMMARY OF THE INVENTION

The present invention provides a method and apparatus for performing a plurality of chemical reactions at  
10 different sites on a substrate wherein the same or different tests, sequencing or synthetic reactions may be conducted at the loci. The invention provides a substrate having a surface which has chemical  
moieties that are reactive with reagents that are  
15 dispensed from a microdrop dispensing device. These reagents may be molecules that become attached to the surface in the microdrop loci to which they are  
dispensed, as in the application of activated nucleic acid phosphoramidites, or the reagents may modify the  
20 surface in the microdrop loci for subsequent chemical reactions, as in the deprotection of the 5' hydroxyl group during the synthesis of oligonucleotides. In the case of delivery of reagents that become attached to the surface, the invention provides a substrate  
25 having a surface to which a first reagent can be attached by dispensing microdrops of the reagent in liquid form onto the substrate. The dispenser is displaced relative to the surface and at least one microdrop is applied thereto containing the same or a  
30 different reagent. By repeating this using the same or a different first reagent in liquid form, a plurality of loci on the surface may be prepared wherein the reagents covalently attach at microdrop-sized loci wherein the boundaries of each locus are  
35 not contiguous to any adjacent locus. The surface

-4-

may then be washed to remove unattached reagent. If needed, the entire surface may be treated, or alternatively, a selected subset of loci may be treated, with deprotecting reagents to expose reactive sites of the molecules attached to the surface. The deprotecting reagent may also be dispensed from the device. Then one or more microdrops containing a second reagent in liquid form may be dispensed at selected loci on the substrate surface, whereby the second reagent is selected to react with the molecules already attached to the matrix. The dispenser is again displaced relative to the surface to apply the second reagent at different loci using the same or a different second reagent which reacts with the respective attached molecules. Again, the entire surface will be washed to remove unreacted second reagents. Then the entire surface or selected subsets of loci may be treated with deprotecting agents, and this process may be repeated.

In the case of delivery of reagents that modify the reactivity of the surface, the invention provides a substrate having a surface to which the reagent is applied by dispensing one or more microdrops onto the substrate. The dispenser is displaced relative to the surface and one or more microdrops are applied thereto. This process may continue until the desired set of microdrop-sized loci have been modified by the application of reagent. The surface may then be washed to remove excess reagent. The entire surface or a selected subset of loci, may be treated with a reagent that becomes attached to the loci modified by the microdrop dispensed reagent, or alternatively a reagent may be applied that becomes attached to the surface except at the loci that were previously modified by the microdrop dispensed reagent. If the

-5-

reagents that become attached to the surface contain chemical moieties that can be modified by the microdrop dispensed reagent, the process may be repeated such that the same or different loci are

5 modified by the microdrop dispensed reagent and then reacted with a reagent or reagents that become attached to the modified loci until the desired compounds have been synthesized on the substrate.

It will also be recognized that a combination of the

10 above strategies may be employed wherein both the reagents that become attached to the surface in microdrop loci and reagents that modify the surface in microdrop loci are dispensed by the microdrop dispensing device.

15 Upon completing the desired number of sequential steps at the loci on the substrate, the compounds may be removed selectively or non-selectively, if desired, from the substrate using cleavage reagents which remove compounds bound through linking groups

20 to solid substrates. Cleavage agents include enzymatic or other chemical agents, which may also be dispensed as microdrops at selected loci. It will be appreciated, for example, in the case of diagnostic methods, isolation of the final compound located in

25 each of the loci is not important, therefore cleavage of the compound from the substrate is an optional step.

In some circumstances, it may be desirable to analyze the molecules directly upon cleavage from the

30 substrate by such techniques as mass spectrometry. In such instances, it is desirable to provide a linker (the moiety through which the molecule in question is attached to the substrate) which is cleavable by electron beam, laser, or other energy

-6-

source so that molecules at a locus may be selectively cleaved from the substrate. This is particularly advantageous for analyzing the molecules by mass spectrometry, whereby the laser or electron  
5 beam cleaves the molecules from the substrate, ionization occurs, and the ions are accelerated into a mass spectrometer.

The substrate may be a solid, such as glass, prepared to receive linkers attached to the surface. Porous  
10 substrates, such as paper or synthetic filters may be used, as well as filters (such as those sold by Nucleopore™) having straight, parallel micropores. In such a microporous substrate, the reactions may take place within the pores, thus amplifying the  
15 potential signal at the locus.

It will also be recognized that the present invention provides a method for determining the presence of an analyte in a sample by contacting the sample with a device prepared according to the present invention  
20 having a plurality of microdrop-sized loci of covalently attached reagents whereby the analyte binds to at least some of the reagents. Detection of the loci at which binding occurs may be performed by conventional methods such as fluorescence,  
25 chemiluminescence, colorimetric detection, radioactive label detection, and the like.

The present invention also provides a method for delivery of microdrops to the substrate that relies on positioning the substrate such that the separation  
30 between the dispenser and substrate is less than the separation required for free droplet formation. In this configuration, the liquid column emerging from the nozzle due to the applied pressure pulse impacts upon the substrate before a droplet forms (i.e., a



-7-

column of liquid stretches between the nozzle and substrate). The impact upon the substrate alters the flow of liquid from the nozzle such that a much smaller amount of liquid is ultimately delivered to the substrate as compared to the case where distinct droplets are formed. This method allows for much closer spacing of loci on the substrate and higher positional precision for the placement of loci.

#### 10 DESCRIPTION OF THE DRAWINGS

FIG. 1A shows a substrate having microdrop sized loci on one surface. FIG. 1B shows the cross-section of a microporous substrate with straight, parallel micropores having a microdrop-sized locus containing attached molecules.

FIG. 2 is a schematic side view of a microdrop dispenser and substrate.

FIG. 3 is a schematic illustration of two loci at which different peptides are prepared.

FIG. 4 is a schematic illustration of a flexible, continuous substrate used with the method of the invention.

#### DESCRIPTION OF PREFERRED EMBODIMENTS

The present invention provides a method for performing a plurality of sequential reactions on a substrate. The surface of the substrate contains chemical moieties that react with reagents that are dispensed from a microdrop dispensing device. The reagents may be molecules that become attached to the surface of the substrate in the microdrop loci to which they are dispensed or the reagents may modify

- 8 -

the surface of the substrate to facilitate the formation of a covalent bond between the surface and a second reagent. In the latter case, the entire surface or a selected subset of loci may then be  
5 treated with a second reagent that becomes covalently attached to the loci modified by the first reagent. If only a selected subset of loci are treated with the second reagent, this step may be repeated with a third reagent that becomes attached to another subset of  
10 loci modified by the first reagent.

The present invention may be utilized to prepare, for example, molecules such as peptides. In a preferred embodiment a linker molecule is provide as the first  
15 reagent whereby one end of the linker will be attached to the substrate surface. The other end of the linker will be adapted to form a linkage with the carboxy terminal of an amino acid or peptide, to form, for example, an amide or ester linkage. This end of the  
20 linker may be initially chemically protected by protecting groups such as t-butoxycarbonyl groups (t-BOC) or other protecting groups known in the peptide synthesis art. By application of a second reagent onto the locus which removes a protecting group, such  
25 as acid solution, the protecting group may be removed. The next reagent applied at each locus would then be an amino terminal-protected and side-chain protected amino acid or polypeptide, preferably having an activated C-terminal group for linking the C-terminal  
30 to the end of the linker. This process may be repeated with the same or different amino acids or peptides at each of the microdrop loci until the substrate includes the peptides of desired sequences and lengths. Thereafter, the protective groups are  
35 removed from some or all of the peptides, as desired. The deprotection may be achieved using a common deprotection agent, which removes the protecting

-9-

groups on side chains and the amino ends simultaneously, as is known in the peptide synthesis art. The peptides may be cleaved from the linker using methods known to those of ordinary skill in the peptide synthesis art which cleave peptides from a solid support as, for example, used in the Merrifield synthesis technique.

It will be realized that a particular advantage of this method is that, by keeping a record of the reagents utilized at each of the microdrop sized loci, peptides of different lengths and sequences maybe made concurrently on the same substrate. Such peptides may have a variety of uses including, but not limited to, screening for biological activity whereby the respective peptide sequences at each locus is exposed to a labeled or unlabeled peptide receptor, such as an antibody, a cell receptor, or any other variety of receptor.

The method according to the present invention may also be utilized to prepare oligonucleotides by sequentially dispensing through the microdrop dispenser protected nucleic acids. These may be added sequentially at each locus using the same or different nucleic acids or polynucleotides. Preferably, the 3'-end of the oligonucleotide will be attached to the linker molecule and the oligonucleotide will be synthesized from the 3' end to the 5' end using known techniques for oligonucleotide synthesis. The protecting groups are preferably those known in the oligonucleotide synthesis art. The oligonucleotide may be utilized, for example, for hybridization with an unknown oligonucleotide to determine the sequence of the unknown oligonucleotide.

An oligonucleotide synthesized at one locus may be

-10-

utilized to synthesize its complementary oligonucleotide by using DNA polymerase. Preferably, the locus will comprise straight pores in a porous substrate. The complementary oligonucleotide may then  
5 be removed by washing a denaturing agent through the pores onto a new substrate, thereby resulting in one substrate (the original porous substrate) containing the oligonucleotides which were originally synthesized, and another substrate containing their  
10 complements.

An array of synthesized oligonucleotides may be used to generate an array of complementary oligonucleotides by using pre-synthesized oligonucleotides, optionally  
15 containing a reactive chemical moiety such as a spacer with a primary amine that attaches to the phosphate chain. In this embodiment, the pre-synthesized oligonucleotides are hybridized to the array of oligonucleotide prepared with the microdrop dispenser.  
20 The localized complementary oligonucleotides are preferably removed from the synthesized array in denaturing conditions and washed onto a second substrate. This second substrate is preferably a material such as a nylon or nitrocellulose membrane,  
25 or surface with amino reactive linkers, where the oligonucleotides become immobilized. Preferably a flow system onto the second substrate is utilized such that the net flow is essentially perpendicular to the original substrate so that the complementary  
30 oligonucleotides in adjacent loci do not intermingle. This may also be accomplished by employing an electric field that is perpendicular to the original substrate such that the complementary oligonucleotides electrophorese onto the second substrate.

35

In yet another embodiment of the present invention the substrate to which oligonucleotides are attached may

-11-

be used as a tool in gene therapy whereby mutations may be identified in a genome. For example, oligos complementary to fragments of the known sequence of the normal gene may be attached to the substrate.

- 5 Digestion of a single strand of the gene from the subject in question and contact with the substrate containing complementary oligo sequences may reveal oligos to which there is binding, thereby indicating the presence or absence of fragments in the subject's  
10 genome.

- The substrate containing oligos may also be used to identify DNA in samples from the environment to detect, for example, the presence or absence of  
15 certain species, in the case where the DNA sequences are known, or to determine the presence of DNA fragments which anneal to the substrate in the case where the DNA sequences are unknown. The oligonucleotides may thereafter be amplified by PCR  
20 amplification technology.

- If the substrate is a porous filter, membrane or other material which can be cut, the substrate may be divided into portions containing one locus (or a  
25 plurality of loci having identical or different molecules). These portions may be placed in microtiter wells for diagnostic or therapeutic tests whereby each well is separately treated with a sample.

- 30 One application of the present invention is to prepare an array of oligonucleotides for the sequencing of DNA by hybridization. The basis for this method is that a given sequence can be constructed from the knowledge of its constitutive set of overlapping sequence  
35 segments, provided there is a certain degree of uniqueness among these segments. The set of overlapping sequence segments of length  $n$  can be

-12-

obtained by hybridization of the unknown DNA to a set of  $n$ -mer oligonucleotides which represent all  $4^n$  possible sequences. The advantages of sequencing by hybridization include faster sequence determination, lower cost, ease of automation and higher reliability (as compared to a single sequence reading from a gel). For an array of oligonucleotides of length  $n$  it is possible to determine the average length of DNA fragment that can be unambiguously sequenced.

Although difficulties can arise when a fragment of length  $n-1$  appears in the sequence more than once, nevertheless, statistical analyses have shown sequencing by hybridization to be a feasible method. The relationship between the length of oligonucleotides and the length of the average resolvable sequence has been determined. Typical numbers are shown in Table 1. For example, an array of all 65,536 octamers can be used in the sequencing of short, 100 to 200 base pair fragments.

Furthermore, it has been shown that inclusion of a random content fixed length gap in the oligonucleotides of the array can be used to achieve higher lengths of sequence resolution. The combination of an array of all  $4^8$  octamers and an array of all  $4^8$  octamers with a random nucleotide inserted in the middle of the octamer has nearly the same resolving power as an array of all  $4^9$  nonamers, even though the nonamer array is twice as large.

The preferred ink jet device utilized to deliver the microdrops generates addresses less than 100 microns across, and address sizes as small as 10 microns are attainable. A primary advantage to use of the ink jet is that standard methods for oligonucleotide

-13-

synthesis that have been optimized for extremely high yields can be employed.

By employing a multiple jet device the synthesis of complete arrays of oligonucleotides can proceed four  
5 times faster and with less material than can be accomplished by performing only addressable deprotection. The simplest design to accomplish this is a five jet system, one jet each for the four phosphoramidite reagents and one jet for the  
10 activating tetrazole solution. The operation of this device is directly analogous to the operation of color ink jet printers. In every coupling cycle, for each address on the array a number is assigned to indicate the correct synthon to be added. During the  
15 reagent delivery process, the stage rasters through the addresses of the array. Tetrazole is first applied to the substrate. At each address an additional offset motion is applied to bring the correct phosphoramidite jet (A, C, G or T) in line.  
20 One or more droplets of the phosphoramidite are then dispersed. Subsequent to this a second offset motion is employed to bring the tetrazole jet in line with the address. After dispersal of the tetrazole reagent, the stage can raster to the next address for  
25 a new delivery cycle. The software for the advanced device is very similar to the control software described in the examples with a modification that a 'color' bitmap is used to represent the array. The four phosphoramidite reagents are each assigned to a  
30 specific color. During the raster through the array for delivery, the color at each pixel in the bitmap is translated to the offset motion to bring the correct reagent in line with the address. The tetrazole jet fires at every address position.

-14-

- The tetraethylene glycol linker is useful for single hybridization with oligonucleotides. Low non-specific binding has been observed. Longer polymers of ethylene glycol may be utilized, as well as
- 5 modified phosphodiester. Phosphoramidite reagents are commercially available that may be polymerized in a stepwise manner to yield dimethoxytrityl-capped linkers of virtually any length desired. Since this linkage is ultimately a phosphodiester with
- 10 phosphates spaced by alkyl chains of only a few carbons, it will have similar hydrophilicity to standard DNA. Further, since the linker is negatively charged at neutral pH, lower non-specific binding of DNA to the substrate is expected.
- 15 To address the question of coupling efficiency and thus the sequence fidelity in the synthesized arrays the preferred method is to synthesize large arrays, where all addresses contain the same sequence, and perform Maxam-Gilbert sequencing directly on the
- 20 substrate region that contains the array. Prior to the start of sequencing the array may be end-labeled with  $^{32}\text{P}$  phosphate.

- The sequencing by hybridization may require either larger arrays, for example the undecamer array, or
- 25 arrays that have been optimized to obtain more information from a set of hybridization tests. For such large arrays, the complete set of undecamers has 4.2 million members, therefore small address and guard regions are advantageous. With 100 micron
- 30 addresses and 50 micron guard regions, parameters that are within the capacity of examples disclosed herein, the entire undecamer array would occupy an area of 10.5x10.5 square inches.



-15-

In yet another embodiment the microdrops may be used to synthesize polysaccharides using the monosaccharides as building blocks. However, it will be readily apparent that many other types of  
5 polymeric materials may be made according to the present invention whereby the same or different polymers maybe be constructed at each locus on the substrate.

In a particularly advantageous use of the present  
10 invention, small molecules may be made whereby the molecules may be built sequentially using reagents in a multistep synthesis. These need not be polymeric molecules where there is a repetitive unit. Since different reagents may be applied to one or more of  
15 the loci on the substrate, there is an advantageous diversity of structures that can be attained by the multiple and concurrent synthesis technology according to the present invention. The target compounds may be contemporaneously, but separately  
20 synthesized on the substrate to generate an ensemble of compounds which may or may not be structurally related. Each step of the synthesis which occurs at each locus should involve soluble reagents, and should occur at a reasonable yield at typical ambient  
25 temperatures, since most or all of the sites on the substrate will be essentially isothermal. For example, a benzodiazepine may be prepared from an amino acid bound to the substrate by the carbon terminus. Treatment with a microdrop containing 2-  
30 aminobenzophenone imine forms a substrate-bound imine and then treatment with TFA (trifluoro acetic acid) generates a benzodiazepine. By using different amino acids and different aminobenzophenones, an array of different benzodiazepines maybe made in this manner.

-16-

Reference will be made now to the various figures which further describe the preferred modes for practicing the invention.

Referring now to the figures, in Fig. 1A there is shown a substrate 20A having on one surface thereof the microdrops 21 which define each locus at which the chemical synthesis or diagnostic reaction may take place according to the present invention. Since each microdrop is discrete and noncontiguous with adjacent microdrops, reactions may be conducted at each microdrop which are independent of reactions at other microdrops. In Fig. 1B, there is shown a microporous substrate 20B having straight, parallel micropores 40. The growing chains of molecules (41) may be attached within the pores, thus amplifying the synthesis by the additional surface area available beneath the surface of the substrate.

Referring to Fig. 2 there is shown a schematic elevation of the substrate 20 upon which is located on one surface thereof the microdrops 21. Schematically shown is the microdrop multiple jet head dispenser 22 from which, as shown, is being dispensed a microdrop 23. The microdrop is dispensed by a pressure pulse generating means 24, such as a piezoceramic driven pressure pulse device as is typically known in the art of inkjet printers. The timing and amplitude of the pulse are controlled by a suitable electrical controller 25. The location of the dispenser 22 may be suitably controlled by a computer controlled mechanical grid or arm by which precise movements of the dispenser to different locations over the surface 20 can be controlled by control means 26. A reagent source 28 may serve as a reservoir for a particular reagent which is being dispensed, with the flow of the reagent being

-17-

- controlled by a flow controller 27. Alternatively, the dispenser 22 may be held stationary and the substrate 20 may be moved by appropriate controllers in a precise way to locate the microdrops on the substrate surface 20. As part of the control of the location of the dispenser 22, the controlling means 26 will also contain a memory to record the identity of each reagent and the sequence at which they were added to each microdrop locus.
- 10 Referring to Fig. 3, there is shown an elevational view of the substrate 20 and a schematic view of the elements which may be present at two of the microdrop loci. At each of the loci there is a plurality of chemical linkers 30 which are attached at one end to  
15 the substrate surface 20 and at the other end to a molecule which is being synthesized at the particular locus. In the figure the letter "A" represents an amino acid. By separate microdrop treatments in one locus the peptide having the sequence (using  
20 conventional peptide nomenclature whereby the last amino acid added to the chain is the N-terminus) the peptide  $A_3A_2A_1$  has been made by applying in sequence the reagents containing the amino acids  $A_1$ ,  $A_2$  and  $A_3$ . At the other microdrop location the peptide  $A_3A_4A_1$  has  
25 been made by applying in sequence the amino acid reagents containing  $A_1$ ,  $A_4$  and  $A_3$ .

Referring to Fig. 4, there is shown a schematic diagram of one embodiment of an apparatus utilizing the present invention. The substrate 35 is a  
30 continuous, flexible material to which chemicals may covalently linked, such as flexible polystyrene having surface groups to which chemical linkers may be attached, such as those used in solid phase peptide synthesis. One or more electromechanically  
35 controlled dispensers 36A and 36B are used to apply

-18-

microdrops onto the substrate 35. The movement of the substrate 35 is also electromechanically controlled in the longitudinal direction shown by the arrow. The movement of the dispensers 36A and 36B may be controlled along the transverse direction, as well as along the longitudinal direction. Excess reagent is washed off in a bath in tank 37. Detecting means 38, which is also controlled in the transverse and lateral directions, is utilized to observe the loci for either quality control or, in the case of a diagnostic use, for a signal such as fluorescence, radioactivity, polarization, chemiluminescence, etc.

#### EXAMPLE 1

15

#### INK JET DEVICE

A device for reagent delivery was constructed consisting of two 25 mm micrometers that provide x and y translation coupled to 10 V, 0.5 amp per phase, 200 step per revolution stepper motors. A single motor step gives a travel of 2.5  $\mu\text{m}$ . A 48 V power supply with dropping resistors was incorporated to increase high speed motor torque. A piezoelectric ink jet head was mounted vertically to a third 12.5 mm micrometer. The jet was positioned to fire droplets upwards to the underside of a microscope slide held to the top of a platform with a spring loaded slide holder. Electric pulses were generated with electronics that allow all pulse parameters, such as the driving voltage, pulse duration and frequency, to be adjusted. A video camera, which translates in x and y with the jet, was positioned above the slide to monitor drop ejection by focusing on the lower slide surface. Alternately, the camera could be rotated to view across the jet nozzle with

-19-

lighting provided by a strobed LED to allow for visualization of ejected droplets.

- The ink-jet device was controlled by C/C++ program ASyn, with a Windows interface incorporated such that
- 5 nearly all functions can be done with a mouse which can be placed inside a glove box along with the ink jet device. ASyn provides TTL level triggering to peripheral hardware through a multi-parallel port add-in card on a PC compatible computer.
- 10 The software allows for several modes of operation including a manual move and fire, a drawing mode that 'prints' a bitmap image, and a macro execution mode that can 'print' a number of images at different locations. A bitmap is a numerical representation of
- 15 a two dimensional image made up of an array of pixels. In the case of black and white image, a 1 in the bitmap produces one color while a 0 produces the other. Thus the four bytes FF, 0, FF, and 0, whose binary 'bitwise' representation is 11111111,
- 20 00000000, 11111111, and 00000000 would produce alternating white and black lines 8 pixels wide if rendered on a computer screen as a bitmap. The logic of the program divides the arrays into 'addresses' and 'guard' positions that can have variable
- 25 dimensions. The decision to fire at a given address is determined by the value of a pixel in the bitmap image. The mode of firing at an address can also be controlled to give single or multiple droplets in the center of the address as well as a pattern of single
- 30 droplets to fill a square address area. In addition, logic has been incorporated into ASyn to generate the appropriate bitmaps for the synthesis of combinatorial arrays of oligonucleotides.

-20-

A variety of organic solvents including dibromomethane, nitromethane, acetonitrile and dimethyl formamide were found to be suitable for ink jet delivery. Dichloromethane was not found to be  
5 suitable for room temperature delivery although a cooled jet assembly provided better results. A reagent consisting of 0.8 M  $\text{ZnBr}_2$  in 9:1 nitromethane:isopropanol has been selected for the deprotection of the 5'-O dimethoxytrityl protected  
10 deoxyribose during the on-chip synthesis of oligonucleotides.

While delivering water, the ink-jet pulse parameters can be readily adjusted for the delivery of single droplets free of satellites. When the jet nozzle to  
15 microscope slide separation is greater than 100 microns, the drop footprint on a glycidoxypopyl silanized slide can be varied from ~150 to ~250 microns depending on the driving voltage. When the nozzle to slide separation is less than 60 microns,  
20 the footprint is seen to decrease to between 60 and 80 microns. In this case the footprint is relatively independent of the driving voltage.

The driving pulse for the ink jet is optimized by setting the video camera to view across the nozzle of  
25 the jet with the LED strobe in the background. Driving voltage and delay parameters are adjusted while firing a continuous stream of droplets. It was found that the deprotecting reagent required a driving voltage that was approximately one third that  
30 which was required for water. A high degree of control can be exerted on the droplet size when firing deprotection reagent by adjusting the driving voltage. In the case of firing single droplets onto a slide, the size of the 'footprint' of the droplet  
35 as it spread onto the slide surface could be varied

-21-

from less than 100  $\mu\text{m}$  to more than 250  $\mu\text{m}$  by varying the drive voltage. A combination of suitable driving voltage in close positioning has yielded the delivery of droplets of deprotecting agent with a footprint on  
5 the order of 60 microns.

## EXAMPLE 2

### OLIGONUCLEOTIDE SYNTHESIS

Oligonucleotide synthesis was performed using the ink jet to deliver deprotecting reagent.

- 10 A standard microscope slide was coated with glycidoxypropyl silane and reacted with tetraethylene glycol. A standard phosphoramidite synthetic cycle was used. The entire synthesis was performed in a dry nitrogen filled glove box. Prior to the first  
15 coupling reaction the slide was rinsed with acetonitrile (MeCN, distilled from calcium hydride) and dichloromethane (DCM) and vacuum dried for one minute. Phosphoramidite monomers were dissolved at 0.1M in acetonitrile. Tetrazole was dissolved at  
20 0.5M in MeCN. Coupling was performed by adding 80  $\mu\text{l}$  each of the tetrazole and phosphoramidite to an aluminum reaction trough. The glass slide was placed into the trough causing the liquid to spread evenly over the slide surface. Reaction was allowed to  
25 proceed for three minutes. The slide was then rinsed with MeCN and the coupling procedure repeated.

After coupling, the slide was dipped for two minutes into a Teflon and glass chamber that contained an oxidizing iodine/lutidine/MeCN/water solution  
30 purchased from Pharmacia (250 $\mu\text{l}$  each of Oxidation 1 and Oxidation 2). The slide was then rinsed twice with MeCN and DCM and dried in vacuum.

-22-

After drying, the slide was placed onto the ink jet platform for spraying of the appropriate pattern of deprotection reagent. The slide was allowed to sit for a period of five minutes after that last droplet  
5 was delivered. The slide was then rinsed twice with MeCN and DCM and vacuum dried in preparation for the next coupling cycle.

A the end of synthesis the slide was removed from the glove box and immersed overnight in a bath of 30%  
10 ammonia at room temperature.

A test of simple oligonucleotide synthesis was performed to generate 4x5 arrays of poly-T. In this study, 17 cycles of coupling were performed using a single spray pattern that deposited 15 droplets to  
15 all addresses. The addresses were spaced on 2 mm centers. At the end of synthesis the oligonucleotides were deblocked and hybridized with an end-labeled 15-mer of poly-A using 6x SSC/0.5%SDS and 400 ng of end-labeled probe. The synthesis of  
20 arrays of poly-T was successful.

It will be appreciated that the above described is intended to be illustrative and not restrictive and that many embodiments will be apparent to those with skill in the art upon reviewing the above description  
25 and following claims. The scope of the invention should, therefore, be determined not with reference to the above description, but should instead be determined with reference to the appended claims along with the full scope of equivalents to which  
30 such claims are entitled.



-23-

Table 1. Length of possible sequence determination versus the length of oligonucleotides used for hybridization.

	<u>Length of</u> <u>Oligonucleotide</u>	<u>Length of Sequence</u> <u>Identifiable*</u>
5	7	80
	8	180
	9	260
	10	560
10	11	1300
	12	2450

\*These numbers represent the length for which sequence reconstruction will be possible in 95% of all cases.

-24-

CLAIMS:

1. A method of step-by-step synthesis of an array of different chemical compounds at microdrop-sized loci, where each compound is covalently attached to or beneath the surface of a substrate comprising the  
5 steps of:

(a) applying through a single unit of a multiple reagent dispenser at least one microdrop of a first reagent in liquid form to said surface, wherein said substrate is chemically prepared to react with  
10 said first reagent to covalently attach said reagent to said substrate;

(b) displacing said multiple reagent dispenser relative to said surface, or the surface with respect to multiple reagent dispenser, and applying at  
15 least one microdrop containing either the first reagent or a second reagent from a different dispenser unit to said surface wherein said substrate is chemically prepared to react with said reagent to covalently attach said reagent to said substrate;

(c) optionally repeating step (b) at least one time using the same or different reagents in liquid form from different dispenser units wherein each of said reagents covalently attaches to said substrate to form covalently attached compounds;

(d) washing said substrate to remove unattached reagents;

(e) modifying said attached reagents;

(f) repeating steps (a) through (e) with the same or different reagents at various loci on  
30 the substrate; and

(g) optionally, selectively or nonselectively removing the attached compounds at said loci from said substrate.

35 2. A method of step-by-step synthesis of an array of different chemical compounds at microdrop-

-25-

sized loci, where each compound is covalently attached to or beneath the surface of a substrate comprising the steps of:

- 5 (a) applying through a single unit of a multiple reagent dispenser at least one microdrop of a first reagent in liquid form to said surface at the first locus;
- (b) displacing the multiple reagent dispenser relative to said surface and applying through  
10 a second unit of a multiple reagent dispenser a second reagent to the first locus to form a mixture of reagents at the first locus wherein said substrate is chemically prepared to react with said mixture to covalently attach one or more of said reagents to said  
15 substrate at the first locus;
- (c) optionally repeating steps (a) and (b) at additional microdrop-sized loci of said surface where the same or different mixture of reagents are formed at each locus.
- 20 (d) washing said substrate to remove the excess reagents;
- (e) modifying said attached reagents;
- (f) repeating steps (a) through (e) with the same or different reagents at various loci on  
25 the substrate; and
- (g) optionally, selectively or nonselectively removing the attached compounds at said loci from said substrate.

30 3. A method according to claim 1 or 2 wherein said substrate comprises a solid, non-porous material.

35 4. A method according to claim 1 or 2 wherein said substrate comprises a porous material.

5. A method according to claim 4 wherein

-26-

said porous material comprises paper.

6. A method according to claim 4 wherein said porous material comprises a sheet having internal  
5 straight, essentially parallel pores.

7. A method of preparing a substrate according to claim 1 or 2 wherein said reagents in said microdrops comprise protected or unprotected amino  
10 acids, thereby forming polypeptides attached at said loci.

8. A method of preparing a substrate according to claim 1 or 2 wherein said reagents in said  
15 microdrops comprise protected or unprotected nucleic acids, thereby forming oligonucleotides attached at said loci.

9. A method of preparing a substrate according to claim 1 or 2 wherein said reagents in said  
20 microdrops comprise protected or unprotected sugars, thereby forming oligosaccharides attached at said loci.

10. A method according to claim 8 further comprising the step of amplifying said oligonucleotides  
25 by polymerase chain reaction amplification.

11. A method according claim 8 further comprising the steps of forming the oligonucleotide  
30 complements of said attached oligonucleotides by use of polymerase and nucleic acids, and washing said complements from said substrate with a denaturing agent.

35 12. A method according to claim 8 further comprising the steps of hybridizing oligonucleotide complements to said attached oligonucleotides, and

-27-

washing said complements from said substrate with a denaturing agent.

13. A method according to claim 12 wherein  
5 said substrate comprises a porous membrane having straight, essentially parallel pores and said complements are removed through said pores onto a second substrate, wherein the relative locations of  
10 said complements on said second substrate correspond to the relative locations of their respective oligonucleotides on said substrate from which they were removed.

14. A method according to claim 1 or 2  
15 wherein said reagents in said microdrops comprise chemical moieties for stepwise synthesis of target compounds, thereby forming said target compounds attached at said loci.

20 15. A method according to claim 1 or 2 wherein said compounds attached at said loci are cleaveable from said substrate by exposure to laser or electron beams.

25 16. A method according to claim 1 or 2 wherein said compounds attached at said loci are cleaveable from said substrate by chemical reagents.

30 17. A substrate prepared according to the method of claim 1 or 2.

18. A substrate prepared according to the method of claim 7.

35 19. A substrate prepared according to the method of claim 8.

-28-

20. A substrate prepared according to the method of claim 9.

21. A substrate prepared according to the  
5 method of claim 13.

22. A method for determining the presence of an analyte in a sample comprising the steps of contacting said sample with a substrate having a  
10 surface on which are contained microdrop-sized loci of covalently attached reagents, whereby said analyte binds to at least some of said reagents at said loci; and detecting the loci at which said binding occurs.

15 23. A method for detecting active molecules in a sample comprising the steps of contacting said sample with a substrate having a surface on which are contained microdrop-sized loci of covalently attached reagents; whereby said active molecules bind to at  
20 least some of said reagents at said loci; and detecting the loci at which said binding occurs.

24. A method according to claim 22 or 23 wherein said substrate is divided into separate  
25 portions and said portions are separately contacted with a sample.

25. A method according to claim 1 or 2 wherein said multiple reagent dispenser consists of  
30 multiple piezoelectric jet heads.

26. A method according to claim 25 wherein said quantities of first or subsequent reagents dispensed are microdrops having volumes of from 10 to  
35 about 150 picoliters.

27. A method according to claim 1 or 2

-29-

wherein said quantities of first or subsequent reagents dispensed are microdrops having volumes of from about 10 to about 150 picoliters.

- 5                   28. A method of step-by-step synthesis of an array of oligonucleotides at microdrop-sized loci where each oligonucleotide is covalently attached to or beneath the surface of the substrate comprising the steps of:
- 10                   (a) applying through a single unit of a multiple reagent dispenser at least one microdrop of a solution of tetrazole to the first locus;
- (b) displacing said multiple reagent dispenser relative to said surface and applying through  
15 a different unit of a multiple reagent dispenser at least one microdrop of a solution of a nucleotide phosphoramidite to the first locus where the phosphoramidite and tetrazole reagents react with said surface to covalently attach the nucleotide to the  
20 surface through a phosphite bond;
- (c) optionally repeating steps (a) and (b) at additional microdrop-sized loci of said surface where in step (b) the same or different nucleotide phosphoramidite is applied and the nucleotide becomes  
25 covalently attached to the surface;
- (d) washing said substrate to remove unattached reagents;
- (e) applying an oxidizing reagent to the entire substrate to modify the newly formed  
30 covalent attachments to phosphodiester bonds;
- (f) washing said substrate to remove oxidizing agents;
- (g) applying a deprotecting reagent to remove protecting groups to allow for attachment of  
35 additional nucleotides;
- (h) washing said substrate to remove deprotecting reagent;

-30-

(i) optionally repeating steps (a) through (h) where the same or different nucleotide phosphoramidites are applied to the surface loci;

(j) optionally removing protecting  
5 groups from the oligonucleotides; and

(k) optionally selectively or nonselectively removing the attached oligonucleotides at said loci from said substrate.

10 29. A method of preparing a substrate having a plurality of non-contiguous microdrop-sized loci on a surface of said substrate, wherein at each of said loci a compound is covalently attached to or beneath said surface, comprising the steps of:

15 (a) applying through a single reagent dispenser to a first locus of said surface a quantity of a first liquid activating reagent wherein said reagent modifies said surface at said first locus to activate said surface for forming covalent bonds;

20 (b) displacing said single reagent dispenser relative to said surface and applying a quantity of said first activating reagent to said surface at a second locus and optionally repeating the displacing and applying steps at third and subsequent  
25 loci, each such locus thereby becoming modified locus;

(c) washing the substrate to remove excess reagent; and

(d) applying a quantity of a second reagent to the entire substrate, including loci  
30 modified by a steps a, b and c to yield the second reagent covalently bound to said surface at said modified loci.

30 30. The method of claim 29 wherein said first activating reagent is a deprotection reagent which removes protecting groups of said locus.



-31-

31. The method of claim 29 wherein said first activating reagent is an activation reagent which activates reactive groups of said locus.

5 32. The method of claim 29 wherein step (d) is repeated with the same or a different second reagent where the same or different loci have been modified in accordance with steps (a) through (c).

10 33. The method of claim 32 wherein said second reagent comprises protected or unprotected amino acids, thereby forming polypeptides attached at said loci.

15 34. The method of claim 32 wherein said second reagent comprises protected or unprotected nucleotides, thereby forming oligonucleotides attached at said loci.

20 35. The method of claim 34 wherein said activating reagent is selected from the group consisting of trichloroacetic acid in dichloromethane and zinc bromide in nitromethane:isopropanol.

25 36. The method of any of claims 29 through 34 wherein said quantity of activating reagent is applied by a pulse from a single piezoelectric jet head.

30 37. The method of claim 36 wherein said quantity of activating reagent dispensed is a microdrop having a volume of from about 10 to about 150 picoliters.

35 38. The method of claim 29 wherein said quantity of activating reagent dispensed is a microdrop having a volume of from about 10 to about 150

-32-

picoliters.

39. The method of any of claims 29 through  
34 wherein said substrate comprises a solid, non-porous  
5 material.

40. The method of any of claims 29 through  
34 wherein said substrate comprises a porous material.

10 41. A substrate prepared according to the  
method of any of claims 29 to 31.

42. The substrate according to claim 41  
wherein said substrate comprises a solid, non-porous  
15 material.

43. The substrate according to claim 41  
wherein said substrate comprises a porous material.

20 44. A substrate prepared according to the  
method of claim 32 wherein repeated application of the  
same or different second reagent results in the  
formation of polymers covalently bound to said surface  
at said loci.

25 45. The substrate according to claim 44  
wherein said polymer is a peptide.

46. The substrate according to claim 44  
30 wherein said polymer is an oligonucleotide.

47. A method of preparing a substrate having  
a plurality of non-contiguous microdrop-sized loci on a  
surface of said substrate, wherein at each of said loci  
35 a compound is covalently attached to or beneath said  
surface, comprising the steps of:

(a) positioning a piezoelectric jet

-33-

head dispenser at a predetermined distance from said substrate and applying at least one microdrop of a liquid reagent;

- 5 (b) displacing said dispenser relative to said surface and applying at least one microdrop of said liquid reagent to said surface at a second, non-contiguous locus, and optionally repeating the displacing and applying steps at third and subsequent non-contiguous loci; and
- 10 (c) wherein said predetermined distance is less than the separation distance required for free droplet formation, whereby a smaller volume of liquid reagent is delivered to said substrate per microdrop as compared to the volume per microdrop when a droplet is
- 15 allowed to form before contact with said substrate.

1/2

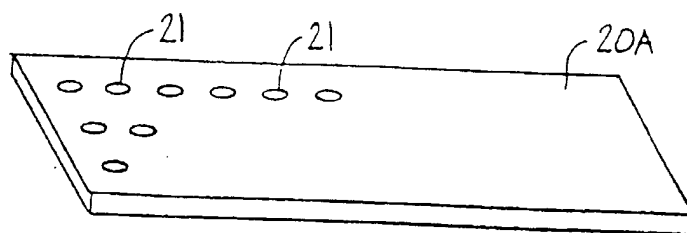


FIG. 1A.

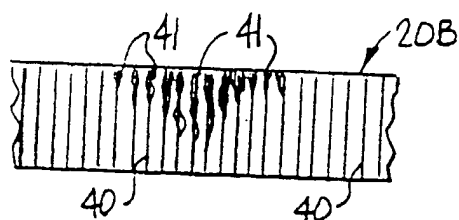


FIG. 1B.

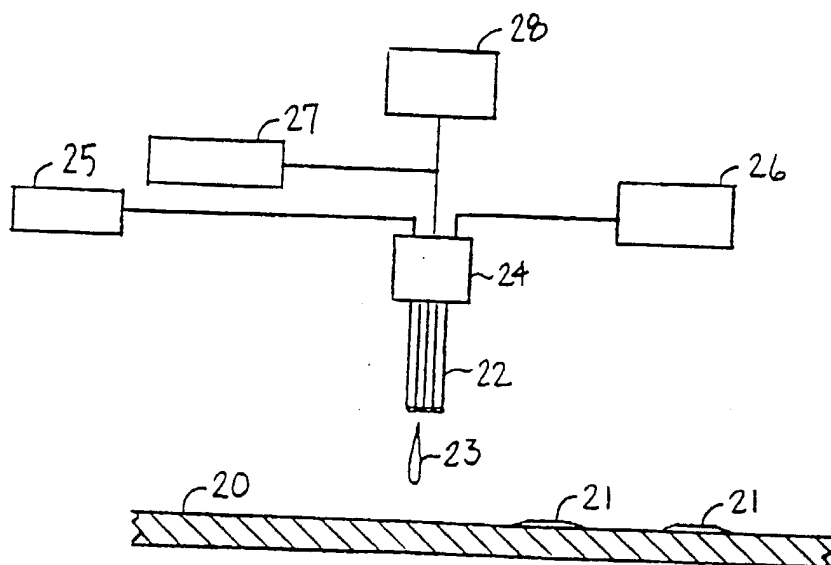


FIG. 2.

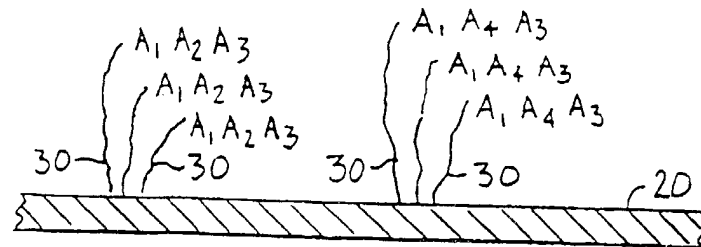


FIG. 3.

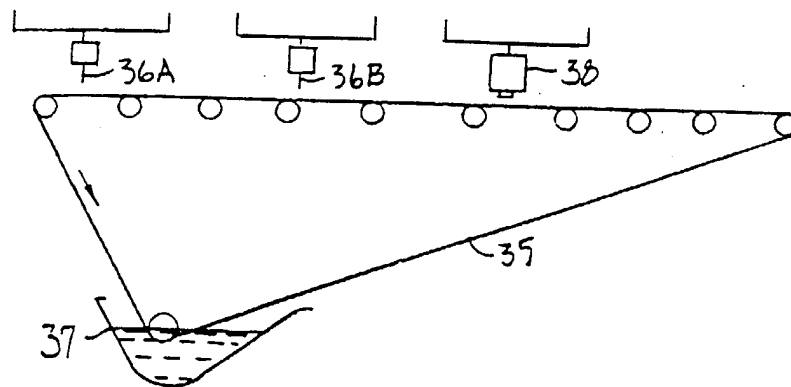


FIG. 4.

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US95/03143

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : C07H 21/00; C12Q 1/68  
US CL : 435/6; 536/25.3

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
U.S. : 435/6, 91.1, 91.2, 290, 810; 436/501; 536/22.1, 23.1, 25.3

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
Please See Extra Sheet.

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	WO, A, 90/00626 (BEATTIE) 25 JANUARY 1990, SEE ENTIRE DISCLOSURE.	1-47
Y	US, A, 5,143,854 (PIRRUNG ET AL.) 01 SEPTEMBER 1992, SEE ENTIRE DISCLOSURE.	1-47
A	WO, A, 92/10588 (FODOR ET AL.) 25 JUNE 1992, SEE ENTIRE DISCLOSURE.	1-47
Y	WO, A, 93/17126, (CHETVERIN ET AL.) 02 SEPTEMBER 1993, SEE ENTIRE DISCLOSURE.	1-47
Y	WO, A, 89/10977 (SOUTHERN) 16 NOVEMBER 1989, SEE ENTIRE DISCLOSURE.	1-47
Y	WO, A, 90/03382 (SOUTHERN ET AL.) 05 APRIL 1990, SEE ENTIRE DISCLOSURE.	1-47

☒ Further documents are listed in the continuation of Box C.

☐ See patent family annex.

### \* Special categories of cited documents:

\*A\* document defining the general state of the art which is not considered to be of particular relevance

\*E\* earlier document published on or after the international filing date

\*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

\*O\* document referring to an oral disclosure, use, exhibition or other means

\*P\* document published prior to the international filing date but later than the priority date claimed

\*T\*

later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\*

document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\*

document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

\*Z\*

document member of the same patent family

Date of the actual completion of the international search

26 MAY 1995

Date of mailing of the international search report

08 JUN 1995

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

ARDIN MARSCHEL

Telephone No. (703) 308-0196

Form PCT/ISA/210 (second sheet) (July 1992)\*

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US95/03143

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	JOURNAL OF BIOMOLECULAR STRUCTURE & DYNAMICS, VOLUME 11, NUMBER 3, ISSUED 1993, LIPSHUTZ, "LIKELIHOOD DNA SEQUENCING BY HYBRIDIZATION", PAGES 637-653, SEE ENTIRE DISCLOSURE.	1-47
X --- Y	GENOMICS, VOLUME 13, ISSUED 1992, SOUTHERN ET AL., "ANALYZING AND COMPARING NUCLEIC ACID SEQUENCES BY HYBRIDIZATION TO ARRAYS OF OLIGONUCLEOTIDES: EVALUATION USING EXPERIMENTAL MODELS", PAGES 1008-1017, SEE THE ENTIRE DISCLOSURE.	1-6, 8, 12-17, 19, 21-24, 28-32, 34, 39-44, 46 ----- 7, 9, 10, 11, 18, 20, 25- 27, 33, 35-38, 45, 47

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US95/03143

## B. FIELDS SEARCHED

Electronic data bases consulted (Name of data base and where practicable terms used):

APS, BIOSIS, MEDLINE, WPI, BIOTECH ABS, CAS

search terms: array, insitu, synthesis, hybridiz?, microdrop, microchip